

Corpus-based Learning of Formal Semantic Concepts: Genericity and Presupposition

Anette Frank
Universität Heidelberg

Séminaire de Recherche en Linguistique
Université de Genève
Département de Linguistique

9. April 2013

Distributional semantics: Novel research questions

- Can statistical semantics profit from formal semantics?
- Can formal semantics profit from statistical semantics?

Today's talk: Looking at Presupposition and Genericity

Hypotheses

- Formal semantics can deliver crucial insights to guide statistical models of semantics
- Statistical semantics can yield novel insights for formal semantics

Corpus-based learning of formal semantic concepts

- Statistical models of semantics
- **Presupposition:** Discriminative learning of fine-grained semantic relations between verbs
- **Genericity:** Classifying generic NPs and generic sentences
- Wrap-up

Acknowledgements

This talk reports research conducted by my doctoral students **Galina Tremper** and **Nils Reiter** under my (collaborative) supervision.

Distributional Hypothesis

- *“a word is characterized by the company it keeps”* (Firth, 1957)
- *“words which are similar in meaning occur in similar contexts”*
(Rubenstein & Goodenough, 1965)
- *“words with similar meanings will occur with similar neighbors if enough text material is available”* (Schütze & Pedersen, 1995)
- *“words that occur in the same contexts tend to have similar meanings”* (Pantel, 2005)

Lexical Distributional Semantics

- Word Senses and Sense Disambiguation
- Semantic Similarity and 'Semantic Relatedness'
- Meaning relations: Synonymy, Antonymy, Hyponymy, Meronymy, Causation

Approaches

- Pattern-based Acquisition (Hearst 1992, Pantel & Pennaccioti 2006)
- Contextual features: word-level, syntactic, semantic
- Vector Space Models (VSM) (Schütze 1998)
- Compositional VSMs
- Textual Entailment, 'Natural Logic'

Questions to Statistical Semantics

How do theoretical linguistic concepts align with corpus-based, statistical models of semantics?

- Can theoretical-linguistic concepts guide statistical models, to make them more effective?
- Can corpus-based, statistical models of semantics contribute novel insights for linguistic theory?

Presupposition: Discriminative learning of fine-grained semantic relations between verbs

(Tremper and Frank, DGfS 2011)

(Tremper and Frank, to appear, Discourse&Dialogue)

Drawing Inferences about Events

Lexical presupposition and entailment relations between verbs

- (1) Spain *won* the finals of the 2010 World Cup.
┆ Spain *played* the finals of the 2010 World Cup.
- (2) President John F. Kennedy was *assassinated*.
┆ President John F. Kennedy *died*.

→ **Inferential relations between verbs are crucial for NLU**

Presupposition is preserved under Negation (Persistence)

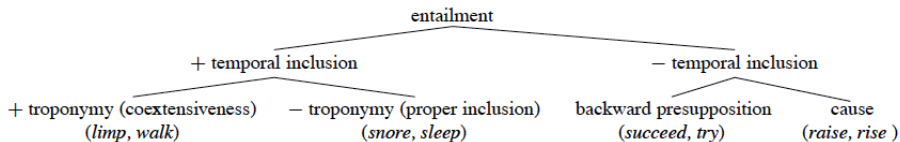
- (3) a. President John F. Kennedy was *not assassinated*.
 ⊄ President John F. Kennedy *died*.
- b. Spain *didn't win* the finals of the 2010 World Cup.
 ┆ Spain *played* the finals of the 2010 World Cup.

→ **Presupposition and entailment need to be distinguished**

Acquisition of lexical semantic relations

WordNet

- Synonymy, antonymy, hypernymy (troponymy), meronymy
- Verb entailment relations

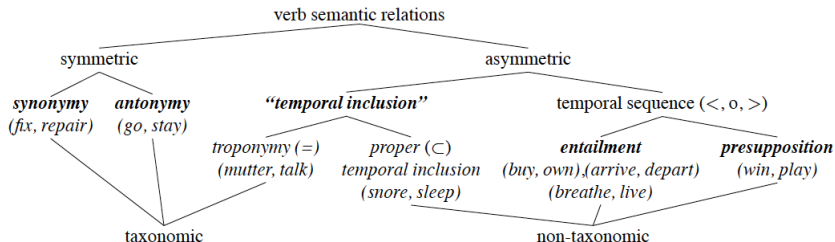


VerbOcean (Chklovski and Pantel, 2004)

- automatic acquisition of semantic relations between verbs:
similarity, strength, antonymy, enablement, happens-before

Discriminative classification of semantic relations

Selected relation classes



How to address this task?

Difficult to distinguish such fine-grained inferential relations!

Prior work

- Pattern-based approaches (Chklovski and Pantel, 2004)
- Distributional methods for asymmetrical inference relations (Bhagat, Pantel and Hovy, 2007)

Here

- Exploit *linguistic properties for discriminative* classification
 - inferential behaviour under negation
 - temporal sequence properties
- using (minimally) supervised corpus-based approach

Inference Patterns under Negation

Relation	Temp.Rel(V_1, V_2)	Inference patterns (V_1, V_2) $I_x : p_{\pm v_1} \text{ op } p_{\pm v_2}$	Example
Entailment (<i>buy, own</i>)	temp.rel: $V_1 (<, o, >) V_2$	$l_1: + \square \rightarrow +$ $l_2: - \diamond \rightarrow +$ exception $l_3: \neg(+ \diamond \rightarrow -)$ $l_4: - \diamond \rightarrow -$	<i>I buy - I own</i> <i>I don't buy, but I (still) own</i> <i>I don't buy, so I (normally) don't own</i>
Presupposition (<i>win, play</i>) Temp. Incl. (<i>snore, sleep</i>)	$V_2 < V_1$ $V_1 \subsetneq V_2$	$l_1: + \square \rightarrow +$ $l_2: - \diamond \rightarrow +$ persistence $l_3: \neg(+ \diamond \rightarrow -)$ $l_4: - \diamond \rightarrow -$ cancell.	<i>I win - I played</i> <i>I didn't win but/when I played</i> <i>I didn't win - because I didn't play</i>
Antonymy (<i>love, hate</i>)	no temp. seq.	$l_1: \neg(+ \diamond \rightarrow +)$ $l_2: - \square \rightarrow +$ t.n.d. $l_3: + \square \rightarrow -$ t.n.d. $l_4: \neg(- \diamond \rightarrow -)$ t.n.d.	<i>you don't love - you hate</i> <i>you love - you don't hate</i>
Synonymy (<i>fix, repair</i>)	no temp. seq.	$l_1: + \square \rightarrow +$ $l_2: \neg(- \diamond \rightarrow +)$ $l_3: \neg(+ \diamond \rightarrow -)$ $l_4: - \square \rightarrow -$	<i>I fix - I repair</i> <i>I don't fix - I don't repair</i>

Inference Patterns under Negation

Relation	Temp.Rel(V_1, V_2)	Inference patterns (V_1, V_2) $I_x : p_{\pm v_1} \text{ op } p_{\pm v_2}$	Example
Entailment (buy, own)	temp.rel: $V_1 (<, o, >) V_2$	$l_1: + \square \rightarrow +$	<i>I buy - I own</i>
		$l_2: - \diamond \rightarrow +$ exception	<i>I don't buy, but I (still) own</i>
		$l_3: \neg(+ \diamond \rightarrow -)$	
		$l_4: - \diamond \rightarrow -$	<i>I don't buy, so I (normally) don't own</i>
Presupposition (win, play) Temp. Incl. (snore, sleep)	$V_2 < V_1$	$l_1: + \square \rightarrow +$	<i>I win - I played</i>
		$l_2: - \diamond \rightarrow +$ persistence	<i>I didn't win but/when I played</i>
	$V_1 \subsetneq V_2$	$l_3: \neg(+ \diamond \rightarrow -)$	
		$l_4: - \diamond \rightarrow -$ cancell.	<i>I didn't win - because I didn't play</i>
Antonymy (love, hate)	no temp. seq.	$l_1: \neg(+ \diamond \rightarrow +)$	
		$l_2: - \square \rightarrow +$ t.n.d.	<i>you don't love - you hate</i>
		$l_3: + \square \rightarrow -$ t.n.d.	<i>you love - you don't hate</i>
		$l_4: \neg(- \diamond \rightarrow -)$ t.n.d.	
Synonymy (fix, repair)	no temp. seq.	$l_1: + \square \rightarrow +$	<i>I fix - I repair</i>
		$l_2: \neg(- \diamond \rightarrow +)$	
		$l_3: \neg(+ \diamond \rightarrow -)$	
		$l_4: - \square \rightarrow -$	<i>I don't fix - I don't repair</i>

Inference Patterns under Negation

Relation	Temp.Rel(V_1, V_2)	$I_x : p_{\pm v_1}$ <i>op</i> $p_{\pm v_2}$	Inference patterns (V_1, V_2)	Example
Entailment (<i>buy, own</i>)	temp.rel: $V_1 (<, o, >)$ V_2		$l_1: + \square \rightarrow +$	<i>I buy - I own</i>
			$l_2: - \diamond \rightarrow +$ exception	<i>I don't buy, but I (still) own</i>
			$l_3: \neg(+ \diamond \rightarrow -)$	
			$l_4: - \diamond \rightarrow -$	<i>I don't buy, so I (normally) don't own</i>
Presupposition (<i>win, play</i>) Temp. Incl. (<i>snore, sleep</i>)	$V_2 < V_1$		$l_1: + \square \rightarrow +$	<i>I win - I played</i>
			$l_2: - \diamond \rightarrow +$ persistence	<i>I didn't win but/when I played</i>
	$V_1 \subsetneq V_2$		$l_3: \neg(+ \diamond \rightarrow -)$	
			$l_4: - \diamond \rightarrow -$ cancell.	<i>I didn't win - because I didn't play</i>
Antonymy (<i>love, hate</i>)	no temp. seq.		$l_1: \neg(+ \diamond \rightarrow +)$	
			$l_2: - \square \rightarrow +$ t.n.d.	<i>you don't love - you hate</i>
			$l_3: + \square \rightarrow -$ t.n.d.	<i>you love - you don't hate</i>
			$l_4: \neg(- \diamond \rightarrow -)$ t.n.d.	
Synonymy (<i>fix, repair</i>)	no temp. seq.		$l_1: + \square \rightarrow +$	<i>I fix - I repair</i>
			$l_2: \neg(- \diamond \rightarrow +)$	
			$l_3: \neg(+ \diamond \rightarrow -)$	
			$l_4: - \square \rightarrow -$	<i>I don't fix - I don't repair</i>

Discriminative Properties

Temporal Sequence and Behaviour under Negation

		Behaviour under Negation			
		$(+V_1, +V_2)$	$(-V_1, +V_2)$	$(+V_1, -V_2)$	$(-V_1, -V_2)$
Temp. Seq.	V_1 prec V_2	E	$(E)^e$	E	
	V_1 succ V_2	E	$(E)^e$	E	
		P	P	$(P)^c$	
No temp. sequence	V_1 ovlp V_2	E	$(E)^e$	E	
		T	T	$(T)^c$	
		$\{A\}$	A	A	$\{A\}$
		S		S	

Discriminative Properties

Temporal Sequence and Behaviour under Negation

		Behaviour under Negation			
		$(+V_1, +V_2)$	$(-V_1, +V_2)$	$(+V_1, -V_2)$	$(-V_1, -V_2)$
Temp. Seq.	V_1 prec V_2	E	$(E)^e$		E
	V_1 succ V_2	E	$(E)^e$		E
		P	P		$(P)^c$
No temp. sequence	V_1 ovlp V_2	E	$(E)^e$		E
		T	T		$(T)^c$
		{A}	A	A	{A}
	S			S	

Discriminative Properties

Temporal Sequence and Behaviour under Negation

		Behaviour under Negation			
		$(+V_1, +V_2)$	$(-V_1, +V_2)$	$(+V_1, -V_2)$	$(-V_1, -V_2)$
Temp. Seq.	$V_1 \text{ prec } V_2$	E	$(E)^e$		E
	$V_1 \text{ succ } V_2$	E	$(E)^e$		E
		P	P		$(P)^c$
No temp. sequence	$V_1 \text{ ovlp } V_2$	E	$(E)^e$		E
		T	T		$(T)^c$
		{A}	A	A	{A}
		S			S

Discriminative Properties

Temporal Sequence and Behaviour under Negation

		Behaviour under Negation			
		$(+V_1, +V_2)$	$(-V_1, +V_2)$	$(+V_1, -V_2)$	$(-V_1, -V_2)$
Temp. Seq.	$V_1 \text{ prec } V_2$	E	$(E)^e$		E
	$V_1 \text{ succ } V_2$	E	$(E)^e$		E
		P	P		$(P)^c$
No temp. sequence	$V_1 \text{ ovlp } V_2$	E	$(E)^e$		E
		T	T		$(T)^c$
		{A}	A	A	{A}
	S			S	

Using temporal sequence and negation properties for classification

- Corpus-based approach, using small set of training relation pairs
- Observe co-occurring verbs within syntagmatically related contexts
- Determine their (typical) temporal order and negation contexts as features for (type-based) classification

Challenges and Approach

Annotation of a gold standard data set

- **Type-based:** Labeling pairs of verbs:
difficult to imagine (and agree on) all possible relevant readings and contexts
 $\mathcal{K} = 0.47$
- **Token-based:** Labeling verb pairs in context:
contexts difficult to decide (\pm related?), not all readings covered
 $\mathcal{K} = 0.44$
- Deciding complex inferential properties is difficult!
- Type-based annotation is less expensive
- Solution: **question-based annotation** using verb pairs with prototypical arguments
 $\mathcal{K} = 0.64$

Question-based Annotation

- “Decision Tree” breaks down complex decision into ‘simple’ decision tasks
(temporal sequence, negation, strength of inference)
- Prototypical arguments determine relevant readings based on selectional preference classes – Resnik(1996)

Resnik(1996)

- *Selectional association score* between predicate p_i and semantic class c

$$A(p_i, c) = \frac{P(c|p_i) \log \frac{P(c|p_i)}{P(c)}}{S(p_i)}$$

- *Selectional preference strength* $S(p_i)$:

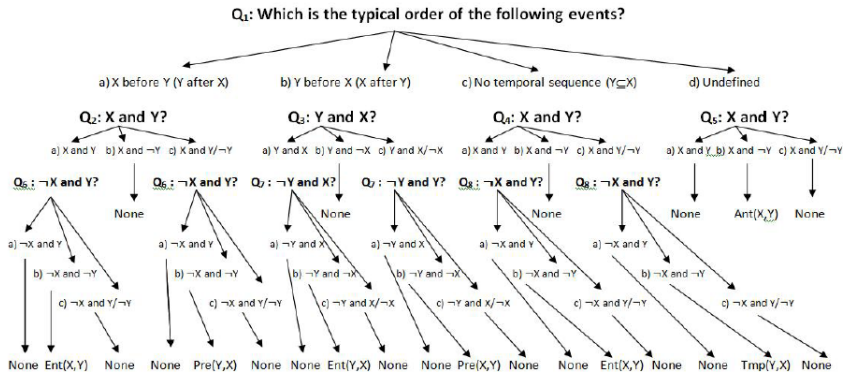
$$S(p_i) = \sum_c P(c|p_i) \log \frac{P(c|p_i)}{P(c)}$$

- Modification to pairs of verbs:

$$A(p_i, p_j, c) = \frac{P(c|p_i, p_j) \log \frac{P(c|p_i, p_j)}{P(c)}}{S(p_i, p_j)}$$

$$S(p_i, p_j) = \sum_c P(c|p_i, p_j) \log \frac{P(c|p_i, p_j)}{P(c)}$$

Question-based Annotation: Decision Tree



Question-based Annotation: Example

-
- Q_0 : // **Characterizing the interpretation of the events:** //
- Please give a translation for the verbs *learn* and *speak* in these readings:
- X: *John learns Spanish.* translation: ---
- Y: *John speaks Spanish.* translation: ---
-
- Q_1 : // **Determining the temporal order of events:** //
-
- Q_2 : // **Determining negation properties: X and Y?** //
-
- Q_6 : // **Determining negation properties: \neg X and Y?** //
-

Question-based Annotation: Example

Q₀: // **Characterizing the interpretation of the events:** //

X: *John learns Spanish.* translation: **lernen**

Y: *John speaks Spanish.* translation: **sprechen**

Q₁: // **Determining the temporal order of events:** //

What is the typical order of the following events?

a) *John learns Spanish and then he speaks Spanish.* X before Y

b) *John speaks Spanish and then he learns Spanish.* X after Y

c) *John learns Spanish and he speaks Spanish at the same time.* X during Y

d) More than one order of events is possible.

e) Not sure (difficult to define)

Q₂: // **Determining negation properties: X and Y?** //

Q₆: // **Determining negation properties: \neg X and Y?** //

Question-based Annotation: Example

Q₀: // **Characterizing the interpretation of the events:** //

X: *John learns Spanish.* translation: lernen

Y: *John speaks Spanish.* translation: sprechen

Q₁: // **Determining the temporal order of events:** //

a) *John learns Spanish and then he speaks Spanish.* X before Y

Q₂: // **Determining negation properties: X and Y?** //

John learns Spanish. Will he speak Spanish?

a) Yes (X and Y)

b) No (X and \neg Y)

c) **Maybe** (X and Y or \neg Y) - Persistence under Negation \rightarrow presupposition

Q₆: // **Determining negation properties: \neg X and Y?** //

Question-based Annotation: Example

Q₀: // **Characterizing the interpretation of the events:** //

Please give a translation for the verbs *learn* and *speak* in these readings:

X: *John learns Spanish.* translation: **lernen**

Y: *John speaks Spanish.* translation: **sprechen**

Q₁: // **Determining the temporal order of events:** //

What is the typical order of the following events?

a) *John learns Spanish and then he speaks Spanish.* **X before Y**

Q₂: // **Determining negation properties: X and Y?** //

John learns Spanish. Will he speak Spanish?

c) **Maybe (X and Y or \neg Y)** – Persistence under Negation → presupposition

Q₆: // **Determining negation properties: \neg X and Y?** //

John does not learn Spanish. Will he speak Spanish?

a) **Yes (\neg X and Y)** → none

b) **No (\neg X and \neg Y)** - Cancellation → presupposition

c) **Maybe (\neg X and \neg Y or Y)** → none

Question-based Annotation: Example

Q₀: // **Characterizing the interpretation of the events:** //
Please give a translation for the verbs *learn* and *speak* in these readings:
X: *John learns Spanish.* translation: **lernen**
Y: *John speaks Spanish.* translation: **sprechen**

Q₁: // **Determining the temporal order of events:** //
What is the typical order of the following events?
a) *John learns Spanish and then he speaks Spanish.* **X before Y**

Q₂: // **Determining negation properties: X and Y?** //
John learns Spanish. Will he speak Spanish?
a) **Maybe (X and Y or \neg Y)** Persistence under Negation \rightarrow presupposition

Q₆: // **Determining negation properties: \neg X and Y?** //
John does not learn Spanish. Will he speak Spanish?
b) **No (\neg X and \neg Y)** - Cancellation \rightarrow presupposition

Result: PRESUPPOSITION(SPEAK,LEARN)

Classification Experiments

Classification Task

- Type-based classifier $C: \mathcal{X} \rightarrow \mathcal{Y}$ assigns classification instances \mathcal{X} consisting of pairs of verb types (V_1, V_2) one label $\mathcal{R} \in \mathcal{Y}$.
- Two classification architectures:
 - **Flat:** Classify instances into 4 core relations plus 'Unrelated':
 $\mathcal{Y} = \{ E, P, T, A, U \}$
 - **Hierarchical:**
Step 1: Binary classification: Related vs. Unrelated
Step 2: Sub-classify instances of 'Related' class into 4 core relations: $\mathcal{Y} = \{ E, P, T, A \}$

Experiments

- Features
- Data sets and model building
- Evaluation and results on test set

Feature vectors for 4-/5-way classification

Feature sets

Feature type	Feature	Classification	
		flat	hier
typical temp. rel.	$F_0: \{\text{before,during,after,undef}\}$	✓	✓
polarity	$F_1 - F_4: P(\langle \pm V_1, \pm V_2 \rangle V_1, V_2)$	✓	✓
relatedness	$F_5: \text{avg. distance betw. } V_1 \text{ and } V_2 \text{ in tokens}$	✓	–
	$F_6: PMI(V_1, V_2)$	✓	–
	$F_7 - F_n: \text{conjunction } c_i: P(c_i V_1, V_2)$	✓	✓

Temporal Sequence (Classifier)

- typical temporal order of events: *before, after, during, undefined*
- Performance (QA-annotation data): P: 71, R: 74, F_1 : 73

Negation

- compute verb polarities: negative particles, adverbs, adjectives, verbs
- Performance: P: 84, R: 86, F_1 : 85
- Conditional probabilities: $P(\langle \pm V_1, \pm V_2 \rangle \mid V_1, V_2)$

Data

- Training: 48 verb pairs (equally distributed over relation types)
- Testing: 250 verb pairs (created by Q-based annotation)
- Corpus for feature extraction: ukWaC (Baroni et al. 2009):
30-500 sents with co-occurring verbs (per verb pair candidate)

Learning Algorithm

We use BayesNet for all experiments (Weka implementation), unless noted otherwise

Contiguity and Preprocessing

Preprocessing: Contiguity Filter

Selecting informative samples for feature extraction:
contiguously related verb pair contexts

Features used:

- length and form of relating grammatical path
- coreferring subj/obj: s-s, o-o, s-o, no coref
- distance in tokens and nb. of intervening verbs
- connectives

J48 classifier: classifies contexts as [\pm contiguous] with F_1 : 0.793

Using contiguity for [\pm related] classification (hier. class.)

classify verb pairs as [\pm related]:

[−related] if $\text{cnt}([+cont]) < \text{cnt}([-cont])$ & $\text{temprel} = \text{undefined}$
[+related] otherwise.

Experiment I: Flat Classification

Semantic Relation	Precision	Recall	F ₁ -score	Baseline F ₁ -score
Presupposition	41%	45%	43%	25%
Entailment	47%	43%	44%	25%
Temporal Inclusion	38%	47%	42%	26%
Antonymy	68%	71%	70%	47%
Other/Unrelated	54%	53%	54%	12%
All	50%	51%	51%	27%

Table: Results for Flat Classification (BL: best feature: Conjunctions).

- classifier results clearly **outperform baseline**
- **balanced** recall and precision
- with 51% F₁-score: *modest performance*
- antonymy outperforms inferential relations (70 vs. low 40 F₁)

Experiment II: Hierarchical Classification

1st stage classification: [+/- related]

- ratio of contiguous/non-contiguous contexts (preprocessing)
- typical temporal relation

assign

[−related] if $\text{cnt}([+cont]) < \text{cnt}([−cont])$ & $\text{temprel} = \text{undefined}$
[+related] otherwise.

2nd stage classifier: 4-way flat classification

- input: verb pairs classified as [+related] by 1st stage classifier
- Feature set: all except contiguity features: F5 (distance) and F6 (PMI); yet keeping F7 (conjunctions)

Experiment II: Hierarchical Classification

Semantic Relation	Baseline	Flat Classification			Hierarchical Classification		
	F ₁	P	R	F ₁	P	R	F ₁
Presupposition	25%	41%	45%	43%	50%	46%	48%
Entailment	25%	47%	43%	44%	44%	46%	45%
Temp. Incl.	26%	38%	47%	42%	41%	47%	44%
Antonymy	47%	68%	71%	70%	72%	74%	73%
Unrelated	12%	54%	53%	54%	68%	63%	66%
All	27%	50%	51%	51%	55%	55%	55%

Table: Hierarchical vs. Flat Classification (BL: best feature - Conjunctions)

- hierarchical classification outperforms flat classification
- strongest gains for presupposition (precision, w/ constant recall)
- balanced precision and recall
- antonymy scores highest
- 100% improvement over baseline

Impact of Features

Sem.	Flat Classification				Hierarchical Classification			
	All	w/o Neg	w/o Tmp	w/o Conj	All	w/o Neg	w/o Tmp	w/o Conj
P	43%	37%	24%	35%	48%	41%	22%	34%
E	44%	41%	14%	28%	45%	43%	14%	25%
T.	42%	42%	12%	38%	44%	43%	11%	36%
A	70%	64%	64%	15%	73%	68%	59%	14%
U	54%	47%	45%	35%				
All	51%	46%	32%	30%	55%	52%	34%	35%

Table: Results using different feature sets. All figures are F_1 -scores.

- *Conjunctions* is strongest feature for *antonymy* and *unrelated*
- *Temporal Relation* is strongest for the inferential relations
- *Negation* contributes most for *presupposition*

Contributions

- In-depth **analysis of semantic properties** of semantic relations between verbs
 - determined **discriminative properties** for classification:
negation and *temporal sequence* properties
 - *question-based annotation* for improved consistency
- Corpus-based *type-based discriminative* classification for **four semantic relation types**
 - using identified feature types plus '*contiguity features*'
 - hierarchical classification outperforms flat classification
 - 100% improvement over baseline
 - weakly supervised: 10 instances/relation type
 - performance is competitive (but not strictly comparable to related work) (Tremper and Frank, to appear)

Intermediate questions?

Genericity:
Classifying generic NPs and generic sentences

(Reiter and Frank, ACL 2010)

(Reiter and Frank 2011, Tech. Report)

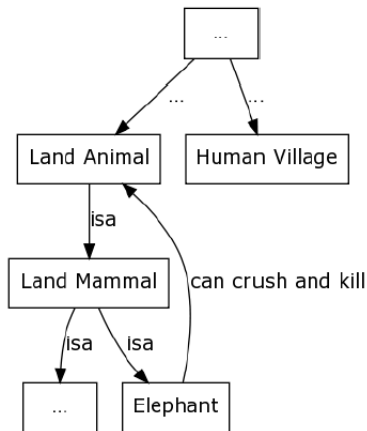
Elephants

*[Elephants] can crush and kill any other land animal [...]
In Africa, groups of young teenage elephants attacked
human villages after cullings done in the 1970s and 80s.*

Wikipedia (2010)

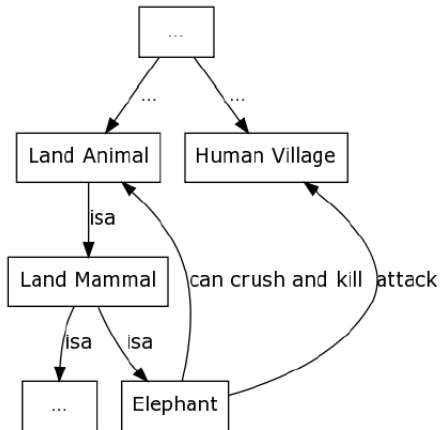
Knowledge Acquisition

*Elephants can crush and kill any other land animal.
Groups of teenage elephants attacked human villages.*



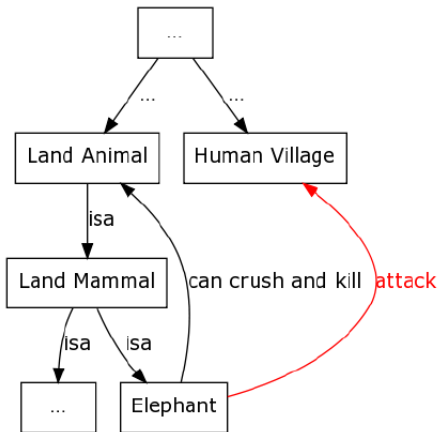
Knowledge Acquisition

*Elephants can crush and kill any other land animal.
Groups of teenage elephants attacked human villages.*



Knowledge Acquisition

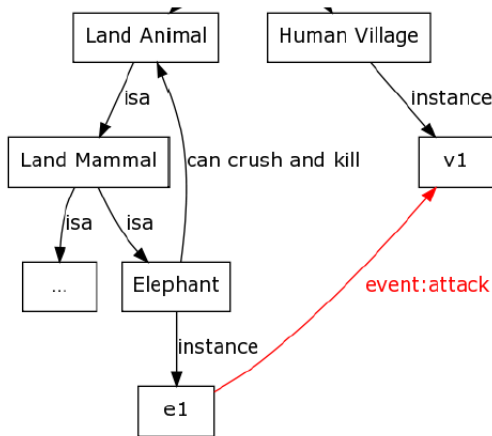
*Elephants can crush and kill any other land animal.
Groups of teenage elephants attacked human villages.*



This is not a property of the class Elephant!

Knowledge Acquisition

*Elephants can crush and kill any other land animal.
Groups of teenage elephants attacked human villages.*



It is a property of an instance of the class Elephant!

Relevance of Recognizing Genericity in K-Acquisition

1. Generic expressions express (rule-like) world knowledge

- Generic noun phrases

Horses are able to sleep while standing.

Wikipedia

- Generic (habitual) sentences

Chimpanzees make tools and use them to acquire foods and for social displays.

Wikipedia

After 1971 [he = Paul Erdős] also took amphetamines.

Wikipedia

2. Need to distinguish *classes* and *instances*

otherwise

- *Instance-level information* is generalized to the *class*, or
- *Class-level knowledge* is attached to *instances*

3. Challenges: Quantifier interpretation and inferential properties

- *Rock ballads are popular with exactly one fan.*
 - i. Rock ballads as a kind have only one fan.
 - ii. There is only one fan who likes rock ballads.
- *The lion was the most wide-spread mammal.*
- *Birds fly.*
- *The Black Robin [...] is an endangered bird from the Chatham Islands. [...] It was first described by Walter Buller in 1872.*

Wikipedia

Wikipedia

⇒ Automatically identify and distinguish
generic (vs. non-generic)
noun phrases and sentences.

Generic Noun Phrases

- Refer to a kind or class of individuals

Examples

- The lion was the most widespread animal.
- Lions eat up to 30 kg in one sitting.

Krifka et al. (1995)

Generic Sentences

- Express rule-like knowledge about habitual actions
- Do not express a particular event

Examples

- *After 1971 [he] also took amphetamines.*
- *Lions eat up to 30 kg in one sitting.*

Krifka et al. (1995)

Co-Occurrence

Both phenomena can (but don't have to) co-occur in a single sentence

	S[+gen]	S[-gen]
NP[+gen]	<i>Lions eat up to 30 kg in one sitting.</i>	<i>The lion was the most widespread mammal.</i>
NP[-gen]	<i>After 1971 [Paul Erd"os] also took amphetamines.</i>	<i>Paul Erd"os was born [...] on March 26, 1913.</i>

Quantification

- Quantification over individuals
- Exact determination of the quantifier restriction is difficult
- Quantification over “relevant” or “normal” individuals

Dahl (1975), Declerck (1991), Cohen (1999)

Kind-Referring

- A generic NP refers to a kind
- Kinds are individuals that have properties on their own

Carlson (1977)

Interpretation of Generic Sentences

$$Q[x_1, \dots, x_i] \left(\underbrace{[x_1, \dots, x_i]}_{\text{Restrictor}}; \underbrace{\exists y_1, \dots, y_i [x_1, \dots, x_i, y_1, \dots, y_i]}_{\text{Matrix}} \right)$$

- Dyadic operator Q relates restrictor and matrix
- Generic operator quantifies over situations and events
- Exact determination of the quantifier restriction is extremely difficult

Heim (1982), Krifka et al. (1995)

- Classification of generic sentences Mathew and Katz (2009)

Interpretation of Generic Sentences

$$Q[x_1, \dots, x_i] \left(\underbrace{[x_1, \dots, x_i]}_{\text{Restrictor}}; \underbrace{\exists y_1, \dots, y_i [x_1, \dots, x_i, y_1, \dots, y_i]}_{\text{Matrix}} \right)$$

- Dyadic operator Q relates restrictor and matrix
- Generic operator quantifies over situations and events
- Exact determination of the quantifier restriction is extremely difficult

Heim (1982), Krifka et al. (1995)

- Classification of generic sentences Mathew and Katz (2009)

Characteristics

- No specific linguistic marking of generic expressions

Examples (Noun Phrases)

- The lion was the most widespread mammal.
- A lioness is weaker [...] than a male.
- Elephants can crush and kill any other land animal.

Examples (Sentences)

- John walks to work.
- John walked to work (when he lived in California).
- John will walk to work (when he moves to California).

Aim 1: Classifying generic (vs. non-generic) NPs

Most of the tests and criteria for genericity given in the literature can't be directly operationalised for corpus-based analysis

- some predicates only allow kind-readings (*be extinct, invent*)
- reference to established kinds allows creation of kind-readings
The Coke bottle has a narrow neck.
- meaning changes when inserting *usually, typically*
- generic sentences express 'essential' (vs. accidental) properties
A madrigal is ?? popular / polyphonic.
A football hero is popular.

Krifka et al. (1995)

Phenomena are context-sensitive

⇒ Corpus-based approach to identify generic noun phrases

Aim 1: Classifying generic (vs. non-generic) NPs

Most of the tests and criteria for genericity given in the literature can't be directly operationalised for corpus-based analysis

- some predicates only allow kind-readings (*be extinct, invent*)
- reference to established kinds allows creation of kind-readings
The Coke bottle has a narrow neck.
- meaning changes when inserting *usually, typically*
- generic sentences express 'essential' (vs. accidental) properties
A madrigal is ?? popular / polyphonic.
A football hero is popular.

Krifka et al. (1995)

Phenomena are context-sensitive

⇒ Corpus-based approach to identify generic noun phrases

Features

	Syntactic	Semantic
NP-level	Number, Person, PoS, DeterminerType, BarePlural	Countability, Granularity, Sense[0-3, Top]
S-level	Clause.{PoS, Passive, NbModifiers}, DependencyRelation[0-4], Clause.Adjunct.{VerbType, AdverbType}, XLE.Quality	Clause.{Tense, Progressive, Perfective, Mood, Pred, HasTempModifier}, Clause.Adjunct.{Time, Pred}, EmbeddingPredicate.Pred

Table: Feature Classes

Feature Combinations

- Each triple, pair and single feature tested in isolation

Ablation Testing

- 1 A single feature in turn is removed from the feature set
- 2 The feature whose omission causes the biggest drop in f-score is considered a strong feature
- 3 Remove strong feature and start over

In the end, we have a list of features sorted by their impact

Experiment: Corpus and Algorithm

Corpus

- ACE-2 corpus
- Newspaper texts
- 40,106 annotated entities
- 5,303 (13.2 %) marked as generic
- Balancing training data: $\sim 10,000$ entities for each class
 - Over-sampling generic entities
 - Under-sampling non-generic entities

Mitchell et al. (2003)

Bayesian Network

- Weka implementation of a Bayesian net Witten and Frank (2002)
- A Bayesian network represents dependencies between random variables as graph edges

Experiment: Corpus and Algorithm

Corpus

- ACE-2 corpus Mitchell et al. (2003)
- Newspaper texts
- 40,106 annotated entities
- 5,303 (13.2 %) marked as generic
- Balancing training data: $\sim 10,000$ entities for each class
 - Over-sampling generic entities
 - Under-sampling non-generic entities

Bayesian Network

- Weka implementation of a Bayesian net Witten and Frank (2002)
- A Bayesian network represents dependencies between random variables as graph edges

Results of Feature Selection – Ablation

	Syntactic	Semantic
NP-level	Number, Person, Pos, DeterminerType, BarePlural	Countability, Granularity, Sense[0], Sense[1-3, Top]
S-level	Clause.PoS, Clause.{Passive, NbModifiers}, DependencyRelation[2], DependencyRelation[0-1,3-4], Clause.Adjunct.{VerbType, AdverbType}, XLE.Quality	Clause.{Tense, Pred}, Clause.{Progressive, Perfective, Mood, HasTempModifier}, Clause.Adjunct.{Time, Pred}, Embedding Predicate.Pred

Table: Feature Classes, selected features highlighted (ablation, Set5)

Majority Each entity is non-generic

Person Use the feature Person

Suh Results of a pattern-based approach on detection of generic NPs
Suh (2006)

	Generic			Overall		
	P	R	F	P	R	F
Majority	0	0	0	75.3	86.8	80.6
Person	60.5	10.2	17.5	84.3	87.2	85.7
Suh (2006)	28.9					

Table: Baseline results

Classification Results – Feature Selection

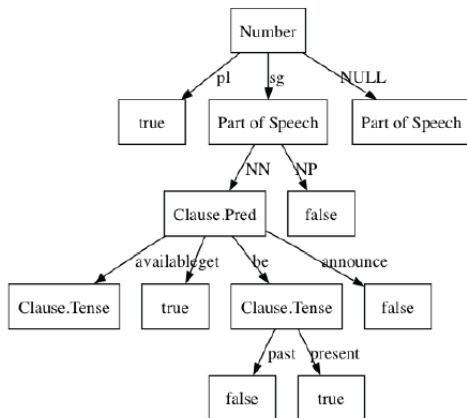
Feature Set		Generic			Overall		
		P	R	F	P	R	F
Bal.	Majority	0	0	0	75.3	86.8	80.6
	Person	60.5	10.2	17.5	84.3	87.2	85.7
	Suh (2006)	28.9					
Unbal.	5 best single features	49.5	37.4	42.6	85.3	86.7	86.0
	Feature groups	42.7	69.6	52.9	88.0	83.6	85.7
	Ablation set	45.7	64.8	53.6	87.9	85.2	86.5
Bal.	5 best single features	29.7	71.1	41.9	85.9	73.9	79.5
	Feature groups	35.9	83.1	50.1	88.7	78.2	83.1
	Ablation set	37.0	81.9	51.0	88.8	79.2	83.7

Table: Results of the classification for Feature Selection

- Ablation testing yields the feature set that outperforms every other feature set

Conclusion 1: Classifying generic NPs

- Corpus-based classification is feasible
- Features from all levels in combination perform best
(Sentence vs. NP, Syntax vs. Semantics)
- Contextual factors with impact on the phenomenon can be uncovered
→ allow deeper investigations of 'factors' for generic interpretation



Generic Sentences

What about generic sentences?
(How) do noun phrase and sentence genericity interact?

Classifying Generic Noun Phrases and Sentences

Cross-classifying generic NPs and sentences

- Sentence-level features are relevant for classifying (non-)generic NPs (Reiter & Frank 2010)
- Definiteness of the noun phrases is relevant for classifying (non-)generic sentences (Mathew & Katz 2009)

Questions and hypotheses

- Both types of genericity are characterized by properties at the NP and S levels, but in different ways.
- Do the two types of genericity interact, and in which ways?
- Can any/one of the two classifiers 'help' the other? (→ joint classification)

Aim 2: Cross-classifying Generic NPs and Sentences

Exp I: Investigation of feature sets

- Learn base classifiers C_{genS} and C_{genNP}
- What type of features discriminate the two types?
 - Human interpretation: mostly semantic
(tense & aspect, specific object reference, temporal modifiers)

Aim 2: Cross-classifying Generic NPs and Sentences

Cross-classification by *Stacked Classification*

Exp II:

- *base classifier* C_S : pre-classify sentences: **S.Gen**
- *target class classifier* C_{NP} : assign target class **NP.Gen** using prediction/learning from base classifier
- ACE data (ground truth for NP.gen)

Exp III:

- *base classifier* C_{NP} : pre-classify noun phrases: **Subj/Obj.Gen**
- *target class classifier* C_S : assign target class **S.Gen** using prediction/learning from base classifier
- PTB data (ground truth for S.gen)

Aim 2: Cross-classifying Generic NPs and Sentences

Exp IIa (ACE): target class: NP.Gen

Exp IIIa (PTB): target class: S.Gen

*target
class
classifier*

$C_{NP}((NP\text{-Level}/Sel_{NP}) \cup S.Gen)$

$C_S((S\text{-Level}/Sel_S) \cup Subj/Obj.Gen)$



*base
classifier*

$C_S(S\text{-Level}/Sel_S) \rightarrow S.Gen$

$C_{NP}(NP\text{-Level}/Sel_{NP}) \rightarrow Subj/Obj.Gen$

Exp I: Calibrating base classifiers and feature sets

Generic NPs

	Feature Set	P	R	F
Generic	R&F: NP-Level	30.1	71.0	42.2
	R&F: Set 5	37.0	81.9	51.0
	S-Level	21.7	69.6	33.1
	NP-Level	33.1	72.5	45.4
	Sel. _{np}	37.2	73.0	49.2
	RF	36.2	82.8	50.4

Table: Results for NP genericity: generic class only, balanced data, 10CV; Feature sets: S-Level/NP-Level only; Sel(ected); RF = R&F reconstructed

- replicated feature set RF comparable to R&F results
- complementary class features (S-level) clearly lag behind
- mixed feature sets clearly outperform NP-level features

Exp I: Calibrating base classifiers and feature sets

Generic Sentences

	Feature Set	P	R	F
Habitual	NP-Level	36.0	52.4	42.7
	MK	56.1	63.0	59.4
	S-Level	65.9	73.2	69.4
	Sel. _s	66.6	74.8	70.5
Episodic	NP-Level	86.1	76.0	80.7
	MK	90.1	87.3	88.7
	S-Level	92.9	90.2	91.5
	Sel. _s	93.3	90.3	91.8

Table: Results for sentence genericity (Exp Ib)

- Replicated feature set underperforms M&K results (unbal. data)
- Complementary feature set (NP-level) lags behind
- S-level clearly outperforms (mixed) MK feature set; almost reaches best (mixed) selected features

Analysis of Feature Sets: Best feature sets

Level	NP genericity: Sel_{np}	
	Syntactic	Semantic
NP	<i>BarePlural, Definiteness, Determiner, Number, Person</i> , MWE	Granularity, Sense[0,1,2]
S	<i>PP[at,on], Rel. S. Position, Conditional</i> , DepRel[0,2], Modal	<i>Aspect, Sense[root], Temporal</i> , Modifiers
Level	Sentence genericity: Sel_s	
	Syntactic	Semantic
NP	<i>Subj&Obj: BarePlural, Definiteness, Determiner, Number, Person</i> , \exists Object , Obj: PoS	
S	<i>PP[at,in,on], Rel. S. Position</i> , PoS	<i>Aspect, Sense[root], Temporal</i> , Tense

Table: Best feature sets: NP and sentence genericity

Analysis of Feature Sets

Observations

- large overlap in feature sets
- both types make use of NP- and S-level features
- sentence genericity: S-level features rival best (selected) features
- sentence genericity: no semantic NP-features
- both types: semantic sentence-level features

This suggests a *dependence of NP genericity on S-level features*, but not the other way round

Differentiating features

NP genericity: Semantic NP class, S modifiers; Conditional, Modal

S genericity: Presence and form of object; in-PP; tense

Aim 2: Cross-classifying Generic NPs and Sentences

Exp II/IIIa: using base classifier prediction as additional feature in target classifier

Exp IIa (ACE): target class: NP.Gen

Exp IIIa (PTB): target class: S.Gen

target
class
classifier

$C_{NP}((NP\text{-Level}/Sel_{NP}) \cup \text{S.Gen})$

$C_S((S\text{-Level}/Sel_S) \cup \text{Subj/Obj.Gen})$



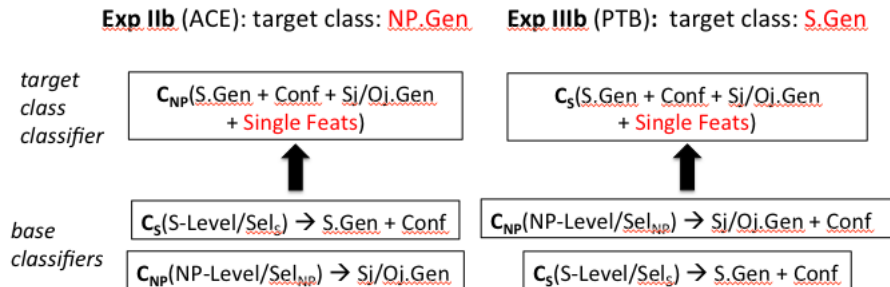
base
classifier

$C_S(S\text{-Level}/Sel_S) \rightarrow \text{S.Gen}$

$C_{NP}(NP\text{-Level}/Sel_{NP}) \rightarrow \text{Subj/Obj.Gen}$

Aim 2: Cross-classifying Generic NPs and Sentences

Exp II/IIIb: Meta Learning: Target classifier uses predictions and confidences of both classifiers (opt: plus some strong features)



Exp II: Generic NPs with Stacked Classification

	Feature Set	P	R	F
Exp IIa	Generic			
	NP-Level	33.2	73.6	45.8
	NP-Level+S.Gen	33.7	78.3	47.1
	Sel. _{np}	37.1	72.9	49.2
	Sel. _{np} +S.Gen	37.5	75.8	50.2
Exp IIb	Generic			
	Person	32.1	75.8	45.1
	Tense	32.1	79.2	45.7
	Subj/Obj	31.9	80.4	45.7
	All	32.5	78.5	45.9
	Meta	32.5	82.6	46.7

Table: Classification results for Exp II

- Exp IIa: S.Gen prediction yields recall gains, at comparable precision
- Injection of S.Gen as a feature outperforms meta learning (Exp IIb)
- Dependencies: $P(\text{NP}[\text{gen}+] \mid \text{S}[\text{hab}+]) > P(\text{NP}[\text{gen}-] \mid \text{S}[\text{hab}+])$

Exp III: Generic Sentences with Stacked Classification

		Feature Set	P	R	F
Exp IIIa	Habitual	S-Level	65.3	73.2	69.0
		S-Level+NP.Gen	64.9	73.7	69.0
		Sel.	66.4	73.6	69.8
		Sel.+NP.Gen	60.8	76.0	67.5
Exp IIIb	Habitual	Meta	50.7	78.6	61.7
		Person	56.4	74.4	64.2
		POS	63.9	73.4	68.3
		Tense	64.0	73.4	68.4
		All	67.1	72.3	69.6

Table: Classification results for Exp III

- Exp IIIa: Injection of NP.Gen prediction harms Sel._S results
- Exp IIIb: Small improvements in precision
- In general, comparable performance to base classifier
- Dependencies: $P(S[\text{gen}+] \mid \text{Subj}[\text{gen}+]) > P(S[\text{gen}+] \mid \text{Subj}[\text{gen}-])$

Conclusions (I + II)

Feature analysis

- **NP.Gen:** features distributed over all feature groups
S.Gen: S-level features are sufficient; no semantic NP features
→ Asymmetric dependence of NP on sentence genericity
- Many overlapping syntactic NP features
→ insights to be gained from semantic and S-level features

Interaction analysis using Cross-classification

- Significant effects on NP.Gen classifier using S.Gen predictions (compared to both NP-level and Sel_{np} base classifiers)
- Inspection of the models reveals insights about interactions: probabilistic dependencies in line with linguistic intuitions
- Meta learning: able to correct misclassifications of base classifiers → cast genericity as joint classification problem

Distributional semantics: Novel research questions

- Can statistical semantics profit from formal semantics?
- Can formal semantics profit from statistical semantics?

I hope to have shown that we can . . .

→ . . . account for a difficult (and relevant) classification problem (*presupposition vs. entailment*) using insights of formal semantics

→ . . . gain insights into factors that determine *genericity* by investigating corpus-based features and classification dependencies

Thanks for your attention!

References

- Nils Reiter and Anette Frank (2010): Identifying generic noun phrases. In: *Proceedings of the 48th Annual Meeting of the Association for Computational Linguistics (ACL 2010)*, Uppsala, Sweden, pp. 40-49.
- Nils Reiter and Anette Frank (2011): Cross-classifying Generic Noun Phrases and Sentences. Technical Report, Heidelberg University.
- Galina Tremper and Anette Frank (to appear): A Discriminative Analysis of Fine-Grained Semantic Relations including Presupposition: Annotation and Classification. To appear in: *Dialogue and Discourse, Special issue on: Beyond semantics: The challenges of annotating pragmatic and discourse phenomena*, edited by Stefanie Dipper, Heike Zinsmeister and Bonnie Webber.
- Galina Tremper and Anette Frank (2011): Extending Fine-Grained Semantic Relation Classification to Presupposition Relations between Verbs. In: Stefanie Dipper and Heike Zinsmeister (ed.): *Beyond Semantics: Corpus-based Investigations of Pragmatic and Discourse Phenomena. Proceedings of the DGfS Workshop Göttingen, February 23-25*. Bochumer Linguistische Arbeitsberichte 3 (3), pp. 129-144.

Semantic Relation	Precision	Recall	F ₁ -score	Baseline F ₁ -score
Presupposition	62%	50%	56%	30%
Entailment	53%	49%	51%	33%
Temporal Inclusion	44%	62%	52%	25%
Antonymy	76%	80%	78%	63%
All	59%	60%	59%	38%

Table: Results for Flat Classification (BL: best feature: Conjunctions).

Exp IV: Performance of NP.Gen classification for habitual sentences

Exploiting dependencies

- NP genericity seems dependent on sentence genericity
- → Evaluate NP genericity classification for habitual sentences (co-occurrence class c: [+NP.Gen, +S.Gen])

		Feature Set	P	R	F
All	Generic	NP-Level	32.5	82.6	46.7
		Sel.	35.4	79.4	48.9
		RF	35.9	83.5	50.2
Hab.	Generic	NP-Level	40.0	82.9	54.0
		Sel.	42.1	80.2	55.3
		RF	41.7	84.5	55.9

Table: Classification results for Exp IV

Examples of verb relations

Relation	Example	Inference pattern
<i>Presupposition</i>	<i>win - play</i>	<i>winning</i> presupposes <i>playing</i> <i>not winning</i> presupposes <i>playing</i>
<i>Entailment</i>	<i>kill - die</i>	<i>killing</i> implies <i>dying</i> <i>not killing</i> doesn't imply <i>dying</i>
<i>Temporal Inclusion</i>	<i>snore - sleep</i> <i>mutter - talk</i>	<i>snoring</i> happens during <i>sleeping</i> <i>muttering</i> is a special form of <i>talking</i>
<i>Antonymy</i>	<i>go - stay</i>	either <i>going</i> or <i>staying</i> <i>going</i> is the opposite of <i>staying</i>
<i>Other/unrel.</i>	<i>jump - sing</i>	none of the above

Web-based Annotation Interface

<i>Verb1: lose - Verb2: find</i>	
Target Language: German ▾	
Translation	
<i>lose</i>	finden <input type="text"/>
<i>find</i>	verlieren <input type="text"/>
Current Question	Previous Answers
<p>1. Which is the typical order of the following events? (according to the Allen interval relations (Allen, 1983))</p> <ul style="list-style-type: none"><input checked="" type="radio"/> Jack loses the keys and then Jack finds these keys. $\{(m, o, <)\}$<input type="radio"/> Jack finds the keys and then Jack loses these keys. $\{(m, ol, >)\}$<input type="radio"/> Jack loses the keys and Jack finds these keys at the same time. $\{(s, sl, f, fl, d, dl, =)\}$<input type="radio"/> More than one order of events is possible.<input type="radio"/> Not sure (difficult to define) <p><input type="button" value="Next Question ->"/></p>	
Consult the guidelines	
Interval Relations, adapted from Allen (1983)	

Web-based Annotation Interface

<i>Verb1: lose - Verb2: find</i>	
Target Language: German ▾	
Translation	
<i>lose</i>	finden
<i>find</i>	verlieren
Current Question	Previous Answers
<p>2. Jack loses the keys. Will Jack find these keys?</p> <p><input type="radio"/> yes</p> <p><input type="radio"/> no</p> <p><input checked="" type="radio"/> maybe (both yes and no are possible)</p> <p><input type="button" value="Next Question ->"/> <input type="button" value="Clear Answers"/></p>	<p>1. Which is the typical order of the following events? (according to the Allen interval relations (Allen, 1983))</p> <p>⇒ Jack loses the keys and then Jack finds these keys. {(m, o, <-)}</p>
Consult the guidelines	
Interval Relations, adapted from Allen (1983)	

Web-based Annotation Interface

Verb1: lose - Verb2: find	
Target Language: German ▾	
Translation	
lose	<input type="text" value="finden"/>
find	<input type="text" value="verlieren"/>
Current Question	Previous Answers
<p>6. Jack doesn't lose the keys. Will Jack find these keys?</p> <p><input type="radio"/> yes</p> <p><input checked="" type="radio"/> no</p> <p><input type="radio"/> maybe (both yes and no are possible)</p> <p><input type="button" value="Next Question ->"/> <input type="button" value="Clear Answers"/></p>	<p>1. Which is the typical order of the following events? (according to the Allen interval relations (Allen, 1983))</p> <p>⇒ Jack loses the keys and then Jack finds these keys. $\{(m, o, <)\}$</p> <p>2. Jack loses the keys. Will Jack find these keys?</p> <p>⇒ maybe (both yes and no are possible)</p>
<p>Consult the guidelines</p> <p>Interval Relations, adapted from Allen (1983)</p>	